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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/034,589



Stephen T. Kuehn

S16.12-0128

CONFIRMATION NO. 1702

FORMALITIES LETTER



OC000000007392668*

Judson K. Champlin WESTMAN CHAMPLIN & KELLY Suite 1600 - International Centre 900 South Second Avenue Minneapolis, MN 55402-3319

Date Mailed: 01/30/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#MP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Stephen T. Kuehn

Appln. No.: 10/034,589

Filed

: December 28, 2001

For

: ANNULOPLASTY RING HOLDER

Docket No.: S16.12-0128

Group Art Unit:3738

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

/ DAY OF_

PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated January 30, 2002, Applicant encloses the following documents to complete the above-identified patent application filed December 28, 2001:

- 1. Charge Authorization-PTO Form 2038 for \$18.00
- 2. Fee Calculation Sheet
- 3. Preliminary Amendment
- 4. Letter to Official Draftsperson
- 5. Six (6) Sheets of Formal Drawings
- 6. Notice to File Correction Application Papers

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:

Judson K. Champlin, Reg. No. 34,797 Suite 1600 - International Centre 900 Second Avenue South

Minneapolis, Minnesota 55402-3319 Phone: (612) 334-3222 Fax: (612) 334-3312

JKC:lrs

Complete if Known 10/034,589 **FEE TRANSMITTAL** Application No. December 28, 2001 Filing Date Stephen T. Kuehn First Named Inventor ANNULOPLASTY RING HOLDER APR 1 1 2002 Title 3738 Group Art Unit **Examiner Name** S16.12-0128 Total Amount of Payment Atty. Docket Number METHOD OF PAYMENT (Check One) FEE CALCULATION (Continued) 3. ADDITIONAL FEES 1. X The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, Large Entity Small Entity and credit any over payments to Deposit Account No. 23-1123. Fee Fee Fee Fee Fee Description Fee Westman, Champlin & Kelly, P.A. Paid Code (\$) Code (\$) Charge Authorization-PTO Form 2038 for \$18.00 105 130 205 65 Surcharge - Late filing fee or oath 127 50 227 25 Surcharge - Late provisional **FEE CALCULATION** Filing Fee or cover sheet 1. BASIC FILING FEE 139 130 139 130 Non-English specification Large Entity Small Entity 147 2,520 147 2,520 For Filing a Request for Reexamination. (ex parte) Fee Fee Fee Fee 115 110 215 55 Extension for reply within first month (\$) <u>Code</u> <u>Code</u> (\$) Fee Description 116 200 400 216 Extension for reply within second month **...** 101 740 201 370 ☐ Utility Filing Fee 117 920 217 460 Extension for reply within third month 106 330 206 165 Design Filing Fee 118 1,440 218 720 Extension for reply within fourth month 108 740 208 370 Reissue Filing Fee 128 1,960 280 980 Extension for reply within fifth month 114 160 214 80 ☐Prov. Filing Fee 120 220 320 160 Filing a brief in support of an appeal Subtotal (1) \$ 0 121 280 221 140 Request for oral hearing **EXTRA CLAIM FEES** 248 Terminal Disclaimer Fee 148 110 55 Number Prior** Extra Fee from Fee Paid 140 110 240 55 Petition to Revive - unavoidable Claims Below 21 20 18 18 Total 141 1,310 241 670 Petition to Revive - unintentional Indep. 142 1,310 242 670 Utility/Reissue issue fee (inc. advance 2 n 3 84 ۵ copies) Multiple Dependent Claims 143 490 243 260 Design issue fee (inc. advance copies) ** Insert 3 and 20, or number previously paid if greater; Reissue see below 122 122 130 Petitions to the Commissioner 130 Large Entity Small Entity 123 50 123 50 Petitions related to provisional Fee Fee Fee Fee Description applications Code _(\$)_. Code (\$) 103 18 203 9 Claims in excess of 20 126 180 126 180 Submission of Information Disclosure 102 84 202 42 Independent claims in excess of 3 Statement 104 280 204 140 Multiple Dependent Claims Recording each patent assignment per 581 40 40 581 Reissue Independent Claims over 109 209 42 84 property (times number of properties) Original Patent 110 18 210 Reissue claims in excess of 20 Other Fee (specify) _ and over original patent Subtotal (2) \$ 18 Subtotal (3) \$

Signature &

(Judson K Champlin)

Date Much 29, 2008

Reg. No. 34,797

Deposit Account No. 23-1123